**INDIAN INSTITUTE OF TECHNOLOGY BOMBAY**



# APPLICATION FOR CONFIRMATION OF RREGISTRATION IN THE Ph.D. PROGRAMME

**Section A** ( *to be completed by the student, and endorsed by the supervisor(s)*)

A .. 1 ..

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**Roll Number**:

**Name** of the student:  **Academic Unit** (Department/ Centre/ IDP/ School):  **Academic Programme** in which the student is currently enrolled:

### Ph.D. M.Tech. + Ph.D Dual Degree M.Phil. + Ph.D. Dual Degree

M .Sc. + Ph.D Dual Degree Other programme

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A .. 5 ..

**Academic Qualification(s) prior** to the admission to the Academic Programme at IIT Boommbbay

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1. Qualification :

1. Institute/ University :
2. Percentage/ CPI :

#### Category of registration :

***(attach copy of the degree certificate)***

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**Prooposed Supervisor(s)** associated with the student:

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|  | **Name** | **Departtmment/ Academic Unit (in IIT Bombay) or Dessignation / Organization**  **/ Institution (if external)** |
| Principal Supervisor |  |  |
| CoSupervisor(s)  (if any, else indicate ‘none’) |  |  |
|  |  |
| External  Co-Supervisor(s)(if any, else indicate ‘none’) |  |  |

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Proposed r esearch to p i c : (*Write this clearly in block letters. Avoid abbreviations or long/*

*vague/ general descriptions. It sshould read like a title of the research work*)

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List the courses for which you have registered during this Acaddemic Programme

and completed successfully, with the grades earned. Against each course, please mention the category from among the alternatives C1, C2, C3, C4 given below.

#### Course Categories:

**C1. Mandatory** Course requirement for the M. Tech. / M. Phil. / M. Sc. Degree in **a Dual Degree Masters + PhD Programme** of IIT Bombay, in which the student is enrolled. This also includes courses *common to* the Masters Degree requirements and Ph.D. initial coursework requirements in such a Dual Degree Programme.

**C2.** M**andatory** course requirement, specifically for the Ph.D. Programme. These could be mandatory PhD course requirements *after* the Masters’ Degree requirements, prescribed as a part of a Dual Degree Masters + PhD Programme, or initial mandatory Institute course requirements in a PhD (only) Programme.

**C3. Additional** courses prescribed / required by the Department/ Academic Unit if any**.**

**C4. Additional** courses taken by the student bypersonal choice/ supervisor’s advice beyond any stipulated requirements, if any.

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| --- | --- | --- | --- | --- |
| Sl.  No. | Course Number | Course Name | Grade Earned | Course Category |
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| *AAtttach Separate sheet if required* | | | | |

Credits Completed C1 : CPI Obtained :

C2 :

C3 :

C4 :

I hereby certify t h a t the information above is authentic to my knowledge and belief.

Signature of student:

Date :

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Faculty Members other than the supervisor(s) who are conversant with the

theme of the proposed research, *some* of whom would be members of the **Research Progress Committee** for the student (give at least two names)

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| Name | Department/ Academic Unit of I IT Bo mb a y/ Desi gn a t i o n/ Organ i zati on ( i f e xter nal) |
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**Information and Consent to be provided by Supervisor**

**Rule R.7.5:** At any given time, the number of Institute research scholars (TAs and/or RAs) working with a supervisor shall **not exceed FIVE**. The DPGC/PGC of the Academic Unit can decide on the total number of research students of all categories working with him/her.

I am at present a Supervisor/Co-Supervisor for the following Ph.D. candidates

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| Roll No. | Name | Department | Other Supervisor/Co-supervisor(if any) |
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In addition to the above, I agree to serve as supervisor for Mr. / Ms.

Remarks (if any)

Signature of Supervisor with date :

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## Information and Consent to be provided by Co-Supervisor

#### Rule R.7.5:

At any given time, the number of Institute research scholars (TAs anndd/oorr RAs)

working with a suppervvisor shhall not exceed FIVE. The DPGC/PGC of the Academic Unit can decide on the total number of research students of all categories working with him/her.

I am at present a Supervisor/Co-Supervisor for the following Ph.D. candidates

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| Roll No. | Name | Department | Other Supervisor/Co-supervisor(if any) |
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In addition to the above, I agree to serve as co-supervisor for Mr./ Ms.

Remarks (if any) :

Signature of Co-Supervisor with Date

# Section B

*(To be completed by the Postgraduate Committee (PGC) of the Department / Academic Unit and endorsed by the Convener, DPGC/ PGC // Head of Academic Unit)*

B..1 **Course Work Details**

### Has the student successfully completed course work requirements of the institute and the course requirements of department/unit for the programme he/she has been admitted into. He/ she has obtained the required CPI :

Yes

No

Additional Remarks (if any):

#### B..2 Ph.D. Qualifier Examination details G u i d e l i n e s a r e g i v e n i n A n n e x u r e - 2 ) :

The student has successfully completed the Qualifier Examination. The Qualifier examination is not applicable to this Academic Unit.

The Qualifier examination is not applicable for this student for this student for the reason as stated below.

Additional Remarks (if any):

B..3 The Postgraduate Committee **recommends** connffirmation of the registration of this student in the PhD Programme at IIT Bombay.

Signature, of the Convener, DPGC/ SPGC/

CPGC/PGC / Head of the Department or Academic Unit, with date and stamp.

**Section C** (*To be completed by the Academic Section*)

Please refer to the courses registered for and completed successfully during this academic programme, as listed by the student, in Section A. 10 . on Page 2, as required, to complete this part of the form.

1. Institute Course credit requirements (number of credits) for the programme:

C1: C2:

1. Credits completed; verifie**d** by the Academic Section: Yes No
2. Report of satisfactory completion of **Qualifying Examination/ Qualifying Procedure** in the concerned Academic Unit received ?

Applicable & Received Applicable, but not received Not applicable to this academic unit

1. Date of satisfactory completion of **Research Proposal Examination**:

*(Format of report* ***given*** *in* ***Annexure – 1 :*** *Only for the students of Dual Degree M.T ech. / M.Phil. + Ph.D. Programme)*

1. Has the student completed all requirements for the confirmation of PhD Registration? **Yes / No**

If Yes, ***Confirmation Date*** the student is eligible for Connfirmation of PhD Registration with effect from (date): .

1. Additional remarks, if any: \_

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Signature of the Assistant Registrar (Academic) with date & stamp

#### For the Convener, PGAPEC:

**PGAPEC recommends the confirmation of PhD Registration of this student, to the IIT Bombay with effect from the date of Confirmation date above.**

Signature of Convenner, PGAPEC with date & Stamp

#### (For use by the Academic Section:)

Reported and recommended by the PGAPEC, in PGAPEC Meeting Number/Date : Reported and approved in Senate Meeting Number/Date :

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**Annexure – 1**: **Format for Report on Research Proposal**

**RESEARCH PROPOSAL**

***(Only for the students of Duuaal Degree prograammme of M.Tech. + Ph.D./ M.PPhhil. + Ph.D.)***

**Name** of the student:  **Roll Number**:  **Academic Unit** (Department/ Centre/ IDP/ School):

Is this the first attempt made by the student at presenting a research proposal for examination? **Yes/No**  If not, please complete: Date(s) on which prior attempts were made: Date of presentation and examination of current Research Proposal:

#### Committee Report:

Certified that this student has presented a research proposal to the committee with composition as indicated below, outlining the research and developmental work to be undertaken towards his/her Ph.D. Thesis. The committee has examined the student on the proposal. The current research proposal presented is: (indicate one of the following alternatives clearly, strike out the others):

* 1. satisfactory as presented and on examination. The student has, therefore, successfully completed the presentation and examination of the research proposal.
  2. not entirely satisfactory as presented, examined: the student has been advised (i) of the deficiencies in the current proposal and examination performance (ii) to present another revised proposal for examination within six months from the current date.
  3. absolutely unsatisfactory: the student is unlikely to complete and present a satisfactory research proposal even within a year from now. It is recommended that the student seek an exit option from the Ph.D. Programme.

#### Committee examining the Research Proposal – Composition and Endorsement:

|  |  |  |  |
| --- | --- | --- | --- |
|  | Name | Department/ Affiliation | Signature with date |
| Supervisor |  |  |  |
| CoSupervisor(s)/ External Supervisor(s)  if any |  |  |  |
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| Other members of the committee  (at least two  other IITB faculty members) |  |  |  |
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| Forwardded through: Convener, DPGC/ PGC / Head of the Department/ Academic Unit (Signature, Date & Stampp): |  |
| Convener, PGAPEC : |  |

**Annexure – 2**

**Guidelines for Report of the Qualifying Examination Performance / Qualifying Procedure in individual academic units:**

Several academic units in the Institute adopt additional qualifying examinations/ procedures to examine the suitability of a candidate prior to connfirmation of registration in the Ph.D. Programme. The format for reporting the performance of the candiddate in the qualifying examination/ procedure has therefore not been prespecified by the Senate. A format suitable to the academic unit should be designed by the Academic Unit, should such an additional qualifying examination/ procedure be adopted by the academic unit in question. Copies of the designed format should be forwarded to the Academic Section, with relevant sections pertaining to each individual candidate, duly completed. It must include the following as a mandatory requirement:

* + 1. A description of the qualifying examination/ qualifying procedure adopted.
    2. Relevant summary details of the performance of the candidate in different components of the qualifying examination/ qualifying procedure, if there are multiple components.
    3. A clear statement of whether the candidate may be deemed to have completed the qualifying procedure/ qualifying examination successfully, on the whole, and/or a recommendation of any exemptions from such a procedure that are granted in the case of specific candidates with explanations.

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